

Collective Wealth Partners, LLC

Privacy Policy

At Collective Wealth Partners, protecting your privacy is very important to us. As a financial services firm, we collect and use nonpublic personal information (NPI) to provide our clients with a broad range of financial services as effectively and conveniently as possible. We are providing this notification to inform you of the types of NPI we collect, our privacy safeguards, and sharing practices. Please note that we handle all NPI in accordance with this policy.

What is NPI? What type of NPI does Collective Wealth Partners collect, and from whom do we collect it?

Nonpublic personal information (NPI) is confidential personal information about you that we obtain to provide financial services or products to you. We generally collect nonpublic personal information about you from the following sources:

- Information we receive from you on applications or other forms (e.g., name, address, income, etc.);
- Information about your transactions with us, our service providers, or other parties to transactions; and
- Information we may receive about you from unaffiliated financial service providers (e.g., custodians, insurance agents, attorneys, accountants, and consumer reporting agencies).

How is your NPI used?

We use your NPI to facilitate certain transactions with our firm or specific transactions through authorized unaffiliated service providers such as account custodians (i.e., broker-dealers, banks, and mutual fund companies) chosen by mutual agreement, and others who need to know such information to provide products or services to you. We may also share your NPI with other unaffiliated service providers (e.g., insurance agents, attorneys, accountants, etc.) that you have authorized to have access to your NPI to conduct business with you or on your behalf. Disclosure of NPI to such parties is unrestricted and facilitated by your agreement and express consent, except as permitted and required by law. We restrict access and sharing of your NPI to employees of our firm and only others who need to know such information as a provision of our services. In all other permissible sharing events, companies must agree to keep your information confidential and are not permitted to use or share your information for any other purpose.

How do we protect your personal information?

Internally, we maintain physical, electronic, and procedural safeguards to protect your NPI. Our safeguards include measures to protect your information prior to, during, and upon the termination of our financial services engagement. Moreover, we ensure that access to your NPI is limited to and only accessible by those who need to know it to provide our business-related services.

Other Information Sharing

As indicated, we may disclose your NPI to unaffiliated services providers, regulatory authorities, and law enforcement agencies. For example, we may disclose your NPI to our attorneys, accountants, auditors for internal audits, or at the request of a regulatory agency to assess our compliance with industry standards. We may also share information when referring clients to unaffiliated entities to provide services. Collective Wealth Partners reserves the right to disclose or share your NPI with unaffiliated service providers as described herein and as permitted by law. In the foregoing instances, these organizations must agree to keep your NPI confidential.